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Attorney Docket No. YO999-426

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): C.J. Chen et al.  
Docket No.: YO999-426  
Serial No.: 09/437,971  
Filing Date: November 10, 1999  
Group: 2654  
Examiner: Lamont M. Spooner

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: W. E. Lewis

Date: April 19, 2006

Title: Methods and Apparatus for Semantic Unit Based  
Automatic Indexing and Searching in Data Archive Systems

AMENDMENT TRANSMITTAL

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is the following document relating to the above-identified patent application:

(1) Amendment and Response to Final Office Action.

There is no additional fee due in conjunction with the Amendment. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

William E. Lewis  
Attorney for Applicant(s)  
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Ryan, Mason & Lewis, LLP  
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Locust Valley, NY 11560  
(516) 759-2946

Date: April 19, 2006



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AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the outstanding final Office Action dated January 19, 2006, Applicants amend the present application as follows: